

MENTAL HEALTH SERVICES ACT (MHSA) ANNUAL REVENUE AND EXPENDITURE REPORT FISCAL YEAR 2011-12

Thank you for your interest in the Mental Health Services Act (MHSA) Revenue and Expenditure Report. This report is being posted both to inform stakeholders of how MHSA funds are allocated and spent and in compliance with laws that require the information to be made available to the public. The purpose of the MHSA Annual Revenue and Expenditure Report (ARER) is to identify how MHSA funds that Tri-City Mental Health Authority received were spent, show the amount of additional funds that were able to be used for mental health services through the leveraging or matching of MHSA funds, identify MHSA funds that were not used, report any interest earned on MHSA funds, and to determine if any MHSA funds might need to be reverted (returned) to the State.

This ARER should be considered a preliminary report, as it cannot be considered final until Tri-City's Medi-Cal cost report is finalized. As such, the ARER may be updated in the future.

Should you have any questions or concerns related to this report, please feel free to contact the Finance Department at (909) 623-6131 or email at MHSAfinance@tricitymhs.org

Thank you.

Tri-City Mental Health Authority

MHSA COUNTY FISCAL ACCOUNTABILITY CERTIFICATION¹

	Three-Year Program and Expenditure Plan Annual Update Annual Revenue and Expenditure Report - REVISED FY ZOIL 12 REVISED
Local Mental Health Director	County Auditor-Controller / City Financial Officer
	Name: Margaret A. Harris
00000111. 2411	
Telephone Number: (909) 623-6131	Telephone Number: (909) 623-6131
E-mail: jduff@tricitymhs.org	E-mail: mharris@tricitymhs.org
Local Mental Health Mailing Address: 1717 North Indian Hill Boulevard, #B Claremont, CA 91711-2788	
Report is true and correct and that the County has complied or as directed by the State Department of Health Care Servi Accountability Commission, and that all expenditures are co Act (MHSA), including Welfare and Institutions Code (WIC) 9 of the California Code of Regulations sections 3400 and 3 an approved plan or update and that MHSA funds will only be	nsistent with the requirements of the Mental Health Services sections 5813.5, 5830, 5840, 5847, 5891, and 5892; and Title 410. I further certify that all expenditures are consistent with be used for programs specified in the Mental Health Services than approved plan, any funds allocated to a county which are specified in WIC section 5892(h), shall revert to the state to
I declare under penalty of perjury under the laws of this state expenditure report is true and correct to the best of my know	vledge.
Jesse H. Duff Local Mental Health Director (PRINT)	Signature Date
30, 2013. I further certify that for the fiscal year endorecorded as revenues in the local MHS Fund; that County/C by the Board of Supervisors and recorded in compliance wit with WIC section 5891(a), in that local MHS funds may not I declare under penalty of perjury under the laws of this stat report attached, is true and correct to the best of my knowled Margaret A. Harris	In that the County's/City's financial statements are audited dit report is dated 10/16/13 for the fiscal year ended June ed June 30, 2012, the State MHSA distributions were city MHSA expenditures and transfers out were appropriated the such appropriations; and that the County/City has complied be loaned to a county general fund or any other county fund. e that the foregoing, and if there is a revenue and expenditure
County Auditor Controller / City Financial Officer (PRINT)	Signature Date

¹ Welfare and Institutions Code Sections 5847(b)(9) and 5899(a) Three-Year Program and Expenditure Plan, Annual Update, and RER Certification (07/22/2013)

Annual Mental Health Services Act Revenue and Expenditure Report for Fiscal Year 2011-12 Identification of Unspent Funds

County: Tri-City Mental Health CenterREVISED	Date:	6/2/2014- REVISED
PEI Statewide Project funds have been assigned to CaIMHSA? {YES or NO}		

	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(1)	(K)
Fiscal Year 2011-12	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
1 Unspent Funds Available from Prior Fiscal Years										
a FY 2006-07 Funds				\$595,800)					\$595,800
b FY 2007-08 Funds				\$548,200	\$2,059,600					\$2,607,800
c FY 2008-09 Funds	\$0	\$0	\$402,600	\$0	\$647,100	\$4,893	\$0	\$0		\$1,054,593
d FY 2009-10 Funds	\$4,481,815	\$1,867,814	\$402,600	\$0	\$0	\$30,800	\$0	\$0		\$6,783,029
e FY 2010-11 Funds	\$1,157,608	\$1,241,168	\$667,100	\$0	\$0	\$31,200	\$0	\$0		\$3,097,076
f Total Unspent Funds Available from Prior FYs in the Local MHS Fund	\$5,639,423	\$3,108,982	\$1,472,300	\$1,144,000	\$2,706,700	\$66,893	\$0	\$0		\$14,138,298
2 Local Prudent Reserve			and the state of t							
a Balance as of June 30, 2011									\$2,491,200	\$2,491,200
3 Funds Posted to Local MHS Fund during FY 2011-121					<u> </u>					
a Transfer of funds from the Local Prudent Reserve	\$0	\$0							\$0	\$0
b Funds received from State MHS Fund ²										
1 FY 2006-07 Funds				\$0						\$0
2 FY 2007-08 Funds				\$0	\$0					\$0
3 FY 2008-09 Funds			\$0		\$0			\$204,300		\$204,300
4 FY 2009-10 Funds	\$0	\$0	\$0					\$204,300		\$204,300
5 FY 2010-11 Funds	\$0	\$0	\$0					\$204,300		\$204,300
6 FY 2011-12 Funds ³	\$2,464,620	\$633,480	\$162,900				-	\$204,300		\$3,465,300
c Interest Income Posted to Local MHS Fund	\$22,137	\$13,810	\$5,484	\$4,199	\$9,934	\$265	\$0	\$0		\$55,829
d Total Funds Posted	\$2,486,757	\$647,290	\$168,384	\$4,199	\$9,934	\$265	\$0	\$817,200	\$0	\$4,134,029
4 MHSA FY 2011-12 Fund Sources ⁴	-								4	
a FY 2006-07 MHSA Funds				\$45,008						\$45,008
b FY 2007-08 MHSA Funds		1				4				\$0
c FY 2008-09 MHSA Funds			\$126,853			\$4,893		\$92,829		\$224,575
d FY 2009-10 MHSA Funds	\$4,481,815	\$1,662,814				\$30,798			建	\$6,175,427
e FY 2010-11 MHSA Funds	\$1,140,533	\$189,845								\$1,330,378
f FY 2011-12 MHSA Funds	\$0	\$0								\$0

Annual Mental Health Services Act Revenue and Expenditure Report for Fiscal Year 2011-12 Identification of Unspent Funds

County: Tri-City Mental Health CenterREVISED	_	Date:	6/2/2014- REVISED
PEI Statewide Project funds have been assigned to CalMHSA? (YES or NO)	YES		

1	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(1)	(K)
Fiscal Year 2011-12	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
g Federal Financial Participation	\$630,968	\$0								\$630,968
h 1991 Realignment	\$0	\$0								\$0
i Other	\$292,265	\$0								\$292,265
i Total MHSA Fund Sources	\$6,545,581	\$1,852,659	\$126,853	\$45,008	\$0	\$35,691	\$0	\$92,829		\$8,698,621
k Total Program Expenditures	\$6,545,581	\$1,852,659	\$126,853	\$45,008	\$0	\$35,691	\$0	\$92,829		\$8,698,621
5 Transfers to Prudent Reserve, WET, CFTN ⁵		*								
a FY 2009-10	\$0	-\$205,000				MyA HA			\$205,000	\$0
b FY 2010-11	\$0	\$0								\$0
c FY 2011-12	\$0	\$0								\$0
6 Total Unspent Funds in the Local MHS Fund ⁶										
a FY 2006-07 Funds				\$550,792						\$550,792
b FY 2007-08 Funds				\$548,200	\$2,059,600					\$2,607,800
c FY 2008-09 Funds	\$0	\$0	\$275,747	\$0	\$647,100	\$0	\$0	\$111,471		\$1,034,318
d FY 2009-10 Funds	\$0	\$0	\$402,600	\$0	\$0	\$2	\$0	\$204,300		\$606,902
e FY 2010-11 Funds	\$17,075	\$1,051,323	\$667,100	\$0	\$0	\$31,200	\$0	\$204,300		\$1,970,998
f FY 2011-12 Funds	\$2,486,757	\$647,290	\$168,384	\$4,199	\$9,934	\$265	\$0	\$204,300		\$3,521,129
g Total Unspent Funds in the Local MHS Fund	\$2,503,832		\$1,513,831	\$1,103,191	\$2,716,634	\$31,467	\$0	\$724,371		\$10,291,939
7 Prudent Reserve Balance									\$2,696,200	

County: Tri-City Mental Health Center--REVISED Date: 6/2/2014-REVISED

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Community Services and Supports Component	Total (Gross) Mental Health Expenditures
FSP Programs	
1 Child FSP	\$1,076,575
2 TAY FSP	\$535,919
3 Adult FSP	\$1,206,346
4 Older Adult FSP	\$530,094
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Subtotal FSP Programs	\$3,348,934
Non-FSP Programs	
1 Navigators	\$306,024
2 Wellness Center	\$1,834,778
3 Supplemental Crisis	\$66,605
4 Field Capable Support Services	\$130,718
5 CSS Housing	\$70,199
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7	
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Subtotal Non-FSP Programs	\$2,408,324
Total FSP and Non-FSP Programs	\$5,757,258
CSS Evaluation	
CSS Administration	\$788,323
CSS MHSA Housing Program Assigned Funds	
Total CSS Expenditures	\$6,545,581

County: Tri-City Mental Health Center--REVISE Date: 6/2/2014-REVISED

	(A)
Prevention and Early Intervention Component	Total (Gross) Mental Health Expenditures
PEI Programs	
1 Community Capacity Building	\$747,745
2 Older Adult Wellbeing	\$51,475
3 TAY Adult Wellbeing	\$60,707
4 Family Wellbeing	\$81,347
5 Student Wellbeing	\$402,722
6 NAMI Community Capacity Building	\$261,302
7 Building Bridges between Landlords, Mental Hea	\$11,961
8 Urban Farming/Therapeutic Community Gardeni	\$54,656
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Total PEI Programs	\$1,671,915
PEI Evaluation	_
PEI Administration	\$180,744
Total PEI Expenditures	\$1,852,659

County: Tri-City Mental Health Center--REVISE Date: 6/2/2014-REVISED

	(A)
Innovation Component	Total (Gross) Mental Health Expenditures
Innovation Programs	
1 Modifications to Cognitive Enhancemement The	\$43,937
2 Integrated Services	\$28,609
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Total INN Programs	\$72,546
Innovation Evaluation	\$51,879
Innovation Administration	\$2,428
Total Innovation Expenditures	\$126,853

County: Tri-City Mental Health Center--REVISE Date: 6/2/2014-REVISED

	(A)
Workforce Education and Training Component	Total (Gross) Mental Health Expenditures
WET Funding Category	
Workforce Staffing Support	\$0
Training and Technical Assistance	\$0
Mental Health Career Pathways Programs	\$0
Residency and Internship Programs	\$0
Financial Incentive Programs	\$0
Total WET Programs	\$0
WET Administration	\$45,008
Total WET Expenditures	\$45,008

County: Tri-City Mental Health Center--REVISE Date: 6/2/2014-REVISED

	(A)
	Total (Gross) Mental Health
Capital Facility/Technological Needs Projects	Expenditures
Capital Facility Projects	
1	\$0
2	
3	
4	
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6	
7	
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9	
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12	
Total CF Projects	\$0
Capital Facility Administration	
Total Capital Facility Expenditures	\$0
Technological Needs Projects	
1	\$0
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13	
Total TN Projects	\$0
Technological Needs Administration	
Total Technological Needs Expenditures	\$0
Total CFTN Expenditures	\$0

County:	Tri-City Mental Health CenterREVISE	Date:	6/2/2014-REVISED

	(A)
	Total (Gross) Expenditures
PEI Training, Technical Assistance and Capacity Building	\$35,691
WET Regional Partnerships	\$0
PEI Statewide Projects	\$92,829