



**MENTAL HEALTH SERVICES ACT (MHSA)
ANNUAL REVENUE AND EXPENDITURE REPORT
FISCAL YEAR 2011-12**

Thank you for your interest in the Mental Health Services Act (MHSA) Revenue and Expenditure Report. This report is being posted both to inform stakeholders of how MHSA funds are allocated and spent and in compliance with laws that require the information to be made available to the public. The purpose of the MHSA Annual Revenue and Expenditure Report (ARER) is to identify how MHSA funds that Tri-City Mental Health Authority received were spent, show the amount of additional funds that were able to be used for mental health services through the leveraging or matching of MHSA funds, identify MHSA funds that were not used, report any interest earned on MHSA funds, and to determine if any MHSA funds might need to be reverted (returned) to the State.

This ARER should be considered a preliminary report, as it cannot be considered final until Tri-City's Medi-Cal cost report is finalized. As such, the ARER may be updated in the future.

Should you have any questions or concerns related to this report, please feel free to contact the Finance Department at (909) 623-6131 or email at MHSAfinance@tricitymhs.org

Thank you.

Tri-City Mental Health Authority

Administrative Office / 1717 North Indian Hill Boulevard, Suite B, Claremont, CA 91711-2788 / 909.623.6131 *p* / 909. 623.4073 *f*

Main Clinical Office / 2008 North Garey Avenue, Pomona, CA 91767-2722 / 909.623.6131 *p* / 909.865-9281 *f*

Clinical Office / Children / 1900 Royalty Drive, Pomona, CA 91767 / 909.784.3200 *p* / 909.865.0730 *f*

Wellness Center / 1403 North Garey Avenue, Pomona, CA 91767 / 909.242.7600 *p* / 909.242.7691 *f*

MHSA Office / 2001 North Garey Avenue, Pomona, CA 91767 / 909.325.4600 *p* / 909.326.4690 *f*

www.tricitymhs.org

Founded by Pomona, Claremont and La Verne in 1960

**Annual Mental Health Services Act Revenue and Expenditure Report for Fiscal Year 2011-12
Identification of Unspent Funds**

County: Tri-City Mental Health Center--REVISED

Date: 6/2/2014- REVISED

PEI Statewide Project funds have been assigned to CalMHSA? (YES or NO)

YES

	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(K)
Fiscal Year 2011-12	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
1 Unspent Funds Available from Prior Fiscal Years										
a FY 2006-07 Funds				\$595,800						\$595,800
b FY 2007-08 Funds				\$548,200	\$2,059,600					\$2,607,800
c FY 2008-09 Funds	\$0	\$0	\$402,600	\$0	\$647,100	\$4,893	\$0	\$0		\$1,054,593
d FY 2009-10 Funds	\$4,481,815	\$1,867,814	\$402,600	\$0	\$0	\$30,800	\$0	\$0		\$6,783,029
e FY 2010-11 Funds	\$1,157,608	\$1,241,168	\$667,100	\$0	\$0	\$31,200	\$0	\$0		\$3,097,076
f Total Unspent Funds Available from Prior FYs in the Local MHS Fund	\$5,639,423	\$3,108,982	\$1,472,300	\$1,144,000	\$2,706,700	\$66,893	\$0	\$0		\$14,138,298
2 Local Prudent Reserve										
a Balance as of June 30, 2011									\$2,491,200	\$2,491,200
3 Funds Posted to Local MHS Fund during FY 2011-12¹										
a Transfer of funds from the Local Prudent Reserve	\$0	\$0							\$0	\$0
b Funds received from State MHS Fund ²										
1 FY 2006-07 Funds				\$0						\$0
2 FY 2007-08 Funds				\$0	\$0					\$0
3 FY 2008-09 Funds			\$0		\$0			\$204,300		\$204,300
4 FY 2009-10 Funds	\$0	\$0	\$0					\$204,300		\$204,300
5 FY 2010-11 Funds	\$0	\$0	\$0					\$204,300		\$204,300
6 FY 2011-12 Funds ³	\$2,464,620	\$633,480	\$162,900					\$204,300		\$3,465,300
c Interest Income Posted to Local MHS Fund	\$22,137	\$13,810	\$5,484	\$4,199	\$9,934	\$265	\$0	\$0		\$55,829
d Total Funds Posted	\$2,486,757	\$647,290	\$168,384	\$4,199	\$9,934	\$265	\$0	\$817,200	\$0	\$4,134,029
4 MHSA FY 2011-12 Fund Sources⁴										
a FY 2006-07 MHSA Funds				\$45,008						\$45,008
b FY 2007-08 MHSA Funds										\$0
c FY 2008-09 MHSA Funds			\$126,853			\$4,893		\$92,829		\$224,575
d FY 2009-10 MHSA Funds	\$4,481,815	\$1,662,814				\$30,798				\$6,175,427
e FY 2010-11 MHSA Funds	\$1,140,533	\$189,845								\$1,330,378
f FY 2011-12 MHSA Funds	\$0	\$0								\$0

**Annual Mental Health Services Act Revenue and Expenditure Report for Fiscal Year 2011-12
Identification of Unspent Funds**

County: Tri-City Mental Health Center--REVISED

Date: 6/2/2014- REVISED

PEI Statewide Project funds have been assigned to CalMHSA? (YES or NO)

YES

	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(K)
Fiscal Year 2011-12	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
g Federal Financial Participation	\$630,968	\$0								\$630,968
h 1991 Realignment	\$0	\$0								\$0
i Other	\$292,265	\$0								\$292,265
j Total MHSA Fund Sources	\$6,545,581	\$1,852,659	\$126,853	\$45,008	\$0	\$35,691	\$0	\$92,829		\$8,698,621
k Total Program Expenditures	\$6,545,581	\$1,852,659	\$126,853	\$45,008	\$0	\$35,691	\$0	\$92,829		\$8,698,621
5 Transfers to Prudent Reserve, WET, CFTN⁵										
a FY 2009-10	\$0	-\$205,000							\$205,000	\$0
b FY 2010-11	\$0	\$0								\$0
c FY 2011-12	\$0	\$0								\$0
6 Total Unspent Funds in the Local MHS Fund⁶										
a FY 2006-07 Funds				\$550,792						\$550,792
b FY 2007-08 Funds				\$548,200	\$2,059,600					\$2,607,800
c FY 2008-09 Funds	\$0	\$0	\$275,747	\$0	\$647,100	\$0	\$0	\$111,471		\$1,034,318
d FY 2009-10 Funds	\$0	\$0	\$402,600	\$0	\$0	\$2	\$0	\$204,300		\$606,902
e FY 2010-11 Funds	\$17,075	\$1,051,323	\$667,100	\$0	\$0	\$31,200	\$0	\$204,300		\$1,970,998
f FY 2011-12 Funds	\$2,486,757	\$647,290	\$168,384	\$4,199	\$9,934	\$265	\$0	\$204,300		\$3,521,129
g Total Unspent Funds in the Local MHS Fund	\$2,503,832	\$1,698,613	\$1,513,831	\$1,103,191	\$2,716,634	\$31,467	\$0	\$724,371		\$10,291,939
7 Prudent Reserve Balance									\$2,696,200	

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2011-12**

County: Tri-City Mental Health Center--REVISED **Date:** 6/2/2014-REVISED

Community Services and Supports Component	Total (Gross) Mental Health Expenditures
FSP Programs	
1 Child FSP	\$1,076,575
2 TAY FSP	\$535,919
3 Adult FSP	\$1,206,346
4 Older Adult FSP	\$530,094
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
Subtotal FSP Programs	\$3,348,934
Non-FSP Programs	
1 Navigators	\$306,024
2 Wellness Center	\$1,834,778
3 Supplemental Crisis	\$66,605
4 Field Capable Support Services	\$130,718
5 CSS Housing	\$70,199
6	
7	
8	
Subtotal Non-FSP Programs	\$2,408,324
Total FSP and Non-FSP Programs	\$5,757,258
CSS Evaluation	
CSS Administration	\$788,323
CSS MHA Housing Program Assigned Funds	
Total CSS Expenditures	\$6,545,581

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2011-12**

County: Tri-City Mental Health Center--REVISE **Date:** 6/2/2014-REVISED

	(A)
Prevention and Early Intervention Component	Total (Gross) Mental Health Expenditures
PEI Programs	
1 Community Capacity Building	\$747,745
2 Older Adult Wellbeing	\$51,475
3 TAY Adult Wellbeing	\$60,707
4 Family Wellbeing	\$81,347
5 Student Wellbeing	\$402,722
6 NAMI Community Capacity Building	\$261,302
7 Building Bridges between Landlords, Mental Hea	\$11,961
8 Urban Farming/Therapeutic Community Gardeni	\$54,656
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
Total PEI Programs	\$1,671,915
PEI Evaluation	
PEI Administration	\$180,744
Total PEI Expenditures	\$1,852,659

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2011-12**

County: Tri-City Mental Health Center--REVISE **Date:** 6/2/2014-REVISED

Innovation Component	(A) Total (Gross) Mental Health Expenditures
Innovation Programs	
1 Modifications to Cognitive Enhancement Ther	\$43,937
2 Integrated Services	\$28,609
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
Total INN Programs	\$72,546
Innovation Evaluation	\$51,879
Innovation Administration	\$2,428
Total Innovation Expenditures	\$126,853

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2011-12**

County: Tri-City Mental Health Center--REVISE **Date:** 6/2/2014-REVISED

	(A)
Workforce Education and Training Component	Total (Gross) Mental Health Expenditures
WET Funding Category	
Workforce Staffing Support	\$0
Training and Technical Assistance	\$0
Mental Health Career Pathways Programs	\$0
Residency and Internship Programs	\$0
Financial Incentive Programs	\$0
Total WET Programs	\$0
WET Administration	\$45,008
Total WET Expenditures	\$45,008

**Annual Mental Health Services Act Revenue and Expenditure Report
for Fiscal Year 2011-12**

County: Tri-City Mental Health Center--REVISE **Date:** 6/2/2014-REVISED

	(A)
Capital Facility/Technological Needs Projects	Total (Gross) Mental Health Expenditures
Capital Facility Projects	
1	\$0
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
Total CF Projects	\$0
Capital Facility Administration	
Total Capital Facility Expenditures	\$0
Technological Needs Projects	
1	\$0
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
Total TN Projects	\$0
Technological Needs Administration	
Total Technological Needs Expenditures	\$0
Total CFTN Expenditures	\$0

**Annual Mental Health Services Act Revenue and Expenditure Report
for Fiscal Year 2011-12**

County: Tri-City Mental Health Center--REVISE **Date:** 6/2/2014-REVISED

	(A)
	Total (Gross) Expenditures
PEI Training, Technical Assistance and Capacity Building	\$35,691
WET Regional Partnerships	\$0
PEI Statewide Projects	\$92,829