



**MENTAL HEALTH SERVICES ACT (MHSA)  
ANNUAL REVENUE AND EXPENDITURE REPORT  
FISCAL YEAR 2016-17**

Thank you for your interest in the Mental Health Services Act (MHSA) Revenue and Expenditure Report. This report is being posted both to inform stakeholders of how MHSA funds are allocated and spent and in compliance with laws that require the information to be made available to the public. The purpose of the MHSA Annual Revenue and Expenditure Report (ARER) is to identify how MHSA funds that Tri-City Mental Health Authority received were spent, show the amount of additional funds that were able to be used for mental health services through the leveraging or matching of MHSA funds, identify MHSA funds that were not used, report any interest earned on MHSA funds, and to determine if any MHSA funds might need to be reverted (returned) to the State.

This ARER should be considered a preliminary report, as it cannot be considered final until Tri-City's Medi-Cal cost report is finalized. As such, the ARER may be updated in the future.

Should you have any questions or concerns related to this report, please feel free to contact the Finance Department at (909) 623-6131 or email at [MHSAfinance@tricitymhs.org](mailto:MHSAfinance@tricitymhs.org)

Thank you.

Tri-City Mental Health Authority

**Administrative Office** | 1717 North Indian Hill Boulevard, Suite B, Claremont, CA 91711-2788 | 909.623.6131 *p* / 909. 623.4073 *f*

**Main Clinical Office** | 2008 North Garey Avenue, Pomona, CA 91767-2722 | 909.623.6131 *p* / 909.865-9281 *f*

**Clinical Office / Children** | 1900 Royalty Drive, Pomona, CA 91767 | 909.784.3200 *p* / 909.865.0730 *f*

**Wellness Center** | 1403 North Garey Avenue, Pomona, CA 91767 | 909.242.7600 *p* / 909.242.7691 *f*

**MHSA Office** | 2001 North Garey Avenue, Pomona, CA 91767 | 909.325.4600 *p* / 909.326.4690 *f*

[www.tricitymhs.org](http://www.tricitymhs.org)

*Founded by Pomona, Claremont and La Verne in 1960*

# MHSA COUNTY FISCAL ACCOUNTABILITY CERTIFICATION<sup>1</sup>

County/City: Tri-City Mental Health Authority


- Three-Year Program and Expenditure Plan  
 Annual Update  
 Annual Revenue and Expenditure Report REVISED 2/7/18

Local Mental Health Director	County Auditor-Controller / City Financial Officer
Name: Antonette (Toni) Navarro  Telephone Number: (909)623-6131  E-mail: Anavarro@tricitymhs.org	Name: Diana Acosta  Telephone Number: (909)454-6434  E-mail: dacosta@tricitymhs.org
Local Mental Health Mailing Address:  1717 N. Indian Hill Blvd. Claremont, CA 91711	

I hereby certify that the Three-Year Program and Expenditure Plan, Annual Update or Annual Revenue and Expenditure Report is true and correct and that the County has complied with all fiscal accountability requirements as required by law or as directed by the State Department of Health Care Services and the Mental Health Services Oversight and Accountability Commission, and that all expenditures are consistent with the requirements of the Mental Health Services Act (MHSA), including Welfare and Institutions Code (WIC) sections 5813.5, 5830, 5840, 5847, 5891, and 5892; and Title 9 of the California Code of Regulations sections 3400 and 3410. I further certify that all expenditures are consistent with an approved plan or update and that MHSA funds will only be used for programs specified in the Mental Health Services Act. Other than funds placed in a reserve in accordance with an approved plan, any funds allocated to a county which are not spent for their authorized purpose within the time period specified in WIC section 5892(h), shall revert to the state to be deposited into the fund and available for counties in future years.

I declare under penalty of perjury under the laws of this state that the foregoing and the attached update/revenue and expenditure report is true and correct to the best of my knowledge.

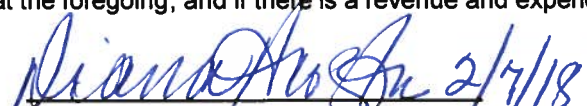
Antonette (Toni) Navarro  
 Local Mental Health Director (PRINT)

  
 Signature 2/7/18  
 Date

I hereby certify that for the fiscal year ended June 30, 2017, the County/City has maintained an interest-bearing local Mental Health Services (MHS) Fund (WIC 5892(f)); and that the County's/City's financial statements are audited annually by an independent auditor and the most recent audit report is dated 10/6/17 for the fiscal year ended June 30, 2017. I further certify that for the fiscal year ended June 30, 2017, the State MHSA distributions were recorded as revenues in the local MHS Fund; that County/City MHSA expenditures and transfers out were appropriated by the Board of Supervisors and recorded in compliance with such appropriations; and that the County/City has complied with WIC section 5891(a), in that local MHS funds may not be loaned to a county general fund or any other county fund.

I declare under penalty of perjury under the laws of this state that the foregoing, and if there is a revenue and expenditure report attached, is true and correct to the best of my knowledge.

Diana Acosta  
 County Auditor Controller / City Financial Officer (PRINT)

  
 Signature 2/7/18  
 Date

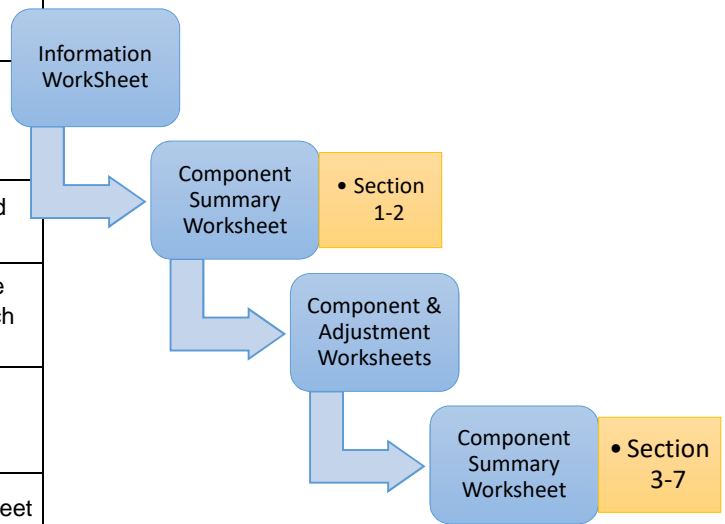
<sup>1</sup> Welfare and Institutions Code Sections 5847(b)(9) and 5899(a)  
 Three-Year Program and Expenditure Plan, Annual Update, and RER Certification (07/22/2013)

Annual Mental Health Services Act Revenue and Expenditure Report  
Fiscal Year 2016-17  
ARER Instructions

**ARER Instructions (v. 01/25/2018)**

- For detailed instructions, see Enclosure 2: Instruction Manual for Fiscal Year 2016-17 of the MHSA Annual Revenue and Expenditure Report.
- These worksheets are used to report the total expenditures for each MHSA-funded program. Expenditures should be recognized in the period that the fund liability is incurred. (Accounting Standards and Procedures for Counties, State Controller’s Office (SCO), May 2014).
- Counties must report any expenditure that occurred between July 1, 2016 and June 30, 2017, on the appropriate component worksheet.
- Counties should reflect total (gross) program expenditures for each MHSA program on the MHSA Component Expenditure Worksheets.

<b>Step 1:</b> Complete the Information worksheet	The information provided on the Information worksheet automatically links to worksheets in the ARER. This worksheet eliminates the redundant entry of county name, code, and date on worksheets.
<b>Step 2:</b> Complete section one and two of the Component Summary worksheet	<b>Section one</b> provides the total amount of unspent funds available from prior fiscal years. These amounts should match the amount of unspent funds previously reported in the FY 2015-16 ARER, Unspent MHSA Funds in the Local Mental Health Service (MHS) Fund (Section 6).
	<b>Section two</b> provides the total amount of revenue received and deposited into the local MHS Fund from July 1, 2016 through June 30, 2017.
	<b>Skip section three through seven of the Component Summary worksheet.</b> These sections are linked to the remaining component worksheets and will auto populate as the county completes each individual worksheet.
<b>Step 3:</b> Complete each component and adjustment worksheet	In general, counties will enter expenditure data in the blue boxes throughout the workbook. Cells shaded gray will require no data entry because it is an excel formula or data is not relevant for that particular cell.
<b>Step 4:</b> Review the Component Summary worksheet	Counties should <b>verify that sections three through seven of the Component Summary</b> worksheet accurately reflect the expenditures reported on the component and adjustment worksheets.



Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2016-17  
Information

Date:	2/6/2017
County:	Tri-City
County Code:	66
Address:	1717 N. Indian Hill Blvd.
City:	Claremont
Zip:	91711
County Population: Over 200,000? (Yes or No)	Yes
Name of Preparer:	Diana Acosta
Title of Preparer:	Chief Financial Officer
Preparer Contact Email:	dacosta@tricitymhs.org
Preparer Contact Telephone	909-451-6434

**Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2016-17  
Component Summary**

			A
			% of revenue
1	<b>Total Annual Planning Costs</b>	\$0.00	
2	<b>Total Evaluation Costs</b>	\$0.00	
3	<b>Total Administration</b>	\$2,231,447.00	

Total MHSAs costs for planning for all components may not exceed 5 percent of the total annual MHSAs revenues received by the County

		A	B	C	D	E	F	G	H	I	J	K
		CSS	PEI	INN	WET	CFTN	TTACB	WET RP	PEI SW	MHSA HP	PR	TOTAL
<b>SECTION 1: Unspent MHSAs Funds Available in the MHS Fund From Prior Fiscal Years</b>												
1	Local Prudent Reserve										\$3,461,200.00	\$3,461,200.00
2	FY 2006-07											\$0.00
3	FY 2007-08				\$203,719.00							\$203,719.00
4	FY 2008-09											\$0.00
5	FY 2009-10			\$112,670.00								\$112,670.00
6	FY 2010-11			\$120,840.00								\$120,840.00
7	FY 2011-12											\$0.00
8	FY 2012-13											\$0.00
9	FY 2013-14			\$45,481.00								\$45,481.00
10	FY 2014-15	\$4,292,433.00		\$315,652.00								\$4,608,085.00
11	FY 2015-16	\$5,946,628.00	\$979,611.00	\$394,186.00		\$24,691.00						\$7,345,116.00
12	Interest	\$50,480.00	\$11,099.00	\$8,287.00	\$12,207.00	\$36.00					\$70,967.00	\$153,076.00
13	<b>TOTAL</b>	\$10,289,541.00	\$990,710.00	\$997,116.00	\$215,926.00	\$24,727.00	\$0.00	\$0.00	\$0.00	\$0.00	\$3,532,167.00	\$16,050,187.00
<b>SECTION 2: MHSAs Funds Received in FY 2016-17 (Revenue)</b>												
1	Transfer from Local Prudent Reserve										\$0.00	\$0.00
2	FY 2016-17 MHSAs Funds	\$7,827,335.00	\$1,956,834.00	\$514,956.00								\$10,299,125.00
3	FY 2016-17 Interest Earned on local MHS Fund	\$61,875.00	\$10,881.00	\$8,167.00	\$4,081.00	\$3,365.00					\$26,454.00	\$114,823.00
4	<b>TOTAL</b>	\$7,889,210.00	\$1,967,715.00	\$523,123.00	\$4,081.00	\$3,365.00	\$0.00	\$0.00	\$0.00	\$0.00	\$26,454.00	\$10,413,948.00
<b>SECTION 3: Program Expenditures and Sources of Funding 2016-17</b>												
1	<b>MHSAs Funds</b>											
2	FY 2006-07				\$0.00							\$0.00
3	FY 2007-08				\$203,719.00	\$0.00						\$203,719.00
4	FY 2008-09			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00			\$0.00
5	FY 2009-10			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00			\$0.00
6	FY 2010-11			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00			\$0.00
7	FY 2011-12			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00			\$0.00
8	FY 2012-13			\$0.00	\$0.00	\$0.00		\$0.00				\$0.00

**Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2016-17  
Component Summary**

		CSS	PEI	INN	WET	CFTN	TTACB	WET RP	PEI SW	MHSA HP	PR	TOTAL
9	FY 2013-14			\$0.00	\$0.00	\$0.00		\$0.00				\$0.00
10	FY 2014-15	\$4,292,433.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00		\$0.00		\$4,292,433.00
11	FY 2015-16	\$1,924,684.00	\$979,611.00	\$189,642.00	\$0.00	\$0.00		\$0.00		\$0.00		\$3,093,937.00
12	FY 2016-17	\$0.00	\$1,110,622.00	\$453,617.00	\$56,153.00	\$0.00		\$0.00		\$0.00		\$1,620,392.00
13	MHSA Interest	\$50,480.00	\$11,099.00	\$3,295.00	\$12,207.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$77,081.00
14	<b>MHSA Net Expenditure Subtotal for FY 2016-17</b>	\$6,267,597.00	\$2,101,332.00	\$646,554.00	\$272,079.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$9,287,562.00
15	<b>Other Funds</b>											
16	1991 Realignment	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
17	Behavioral Health Subaccount	\$420,263.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$420,263.00
18	FFP Revenue	\$1,598,068.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$1,598,068.00
19	Other	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
20	<b>MHSA Other Funds Expenditure Subtotal for FY 2016-17</b>	\$2,018,331.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$2,018,331.00
21	<b>TOTAL MHSA and Other Funding Sources</b>	\$8,285,928.00	\$2,101,332.00	\$646,554.00	\$272,079.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$11,305,893.00

**SECTION 4: Transfers to Prudent Reserve, WET or CFTN**

1	FY 2014-15	\$0.00			\$0.00	\$0.00					\$0.00	\$0.00
2	FY 2015-16	\$0.00			\$0.00	\$0.00					\$0.00	\$0.00
3	FY 2016-17	-\$950,000.00			\$450,000.00	\$500,000.00					\$0.00	\$0.00
4	Interest	\$0.00			\$0.00	\$0.00					\$0.00	\$0.00
5	<b>TOTAL</b>	-\$950,000.00			\$450,000.00	\$500,000.00					\$0.00	\$0.00

**SECTION 5: Adjustments to MHSA Funds**

1	Local Prudent Reserve										\$0.00	\$0.00
2	FY 2006-07	\$0.00			\$0.00							\$0.00
3	FY 2007-08	\$0.00			\$0.00	\$0.00						\$0.00
4	FY 2008-09	\$0.00	\$0.00	\$275,747.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00			\$275,747.00
5	FY 2009-10	\$0.00	\$0.00	\$289,930.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00			\$289,930.00
6	FY 2010-11	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00			\$0.00
7	FY 2011-12	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00			\$0.00
8	FY 2012-13	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00				\$0.00
9	FY 2013-14	\$0.00	\$0.00	-\$45,481.00	\$0.00	\$0.00	\$0.00	\$0.00				-\$45,481.00
10	FY 2014-15	\$0.00	\$0.00	-\$315,652.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00		-\$315,652.00
11	FY 2015-16	\$0.00	\$0.00	-\$204,544.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00		-\$204,544.00
12	FY 2016-17	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00		\$0.00
13	Interest	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

**Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2016-17  
Component Summary**

		CSS	PEI	INN	WET	CFTN	TTACB	WET RP	PEI SW	MHSA HP	PR	TOTAL
14	<b>TOTAL</b>	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>SECTION 6: Adjustments to FFP Revenue</b>												
1	FY 2006-07	\$0.00	\$0.00	\$0.00	\$0.00							\$0.00
2	FY 2007-08	\$0.00	\$0.00	\$0.00	\$0.00							\$0.00
3	FY 2008-09	\$0.00	\$0.00	\$0.00	\$0.00							\$0.00
4	FY 2009-10	\$0.00	\$0.00	\$0.00	\$0.00							\$0.00
5	FY 2010-11	\$0.00	\$0.00	\$0.00	\$0.00							\$0.00
6	FY 2011-12	\$0.00	\$0.00	\$0.00	\$0.00							\$0.00
7	FY 2012-13	\$0.00	\$0.00	\$0.00	\$0.00							\$0.00
8	FY 2013-14	\$0.00	\$0.00	\$0.00	\$0.00							\$0.00
9	FY 2014-15	\$0.00	\$0.00	\$0.00	\$0.00							\$0.00
10	FY 2015-16	\$0.00	\$0.00	\$0.00	\$0.00							\$0.00
11	<b>TOTAL</b>	\$0.00	\$0.00	\$0.00	\$0.00							\$0.00
<b>SECTION 7: Unspent MHSA Fund in the Local MHS Fund Balance (MHSA + FFP)</b>												
1	Local Prudent Reserve										\$3,461,200.00	\$3,461,200.00
2	FY 2006-07				\$0.00							\$0.00
3	FY 2007-08				\$0.00	\$0.00						\$0.00
4	FY 2008-09	\$0.00	\$0.00	\$275,747.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00			\$275,747.00
5	FY 2009-10	\$0.00	\$0.00	\$402,600.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00			\$402,600.00
6	FY 2010-11	\$0.00	\$0.00	\$120,840.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00			\$120,840.00
7	FY 2011-12	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00			\$0.00
8	FY 2012-13	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00				\$0.00
9	FY 2013-14	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00				\$0.00
10	FY 2014-15	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00				\$0.00
11	FY 2015-16	\$4,021,944.00	\$0.00	\$0.00	\$0.00	\$24,691.00		\$0.00		\$0.00		\$4,046,635.00
12	FY 2016-17	\$6,877,335.00	\$846,212.00	\$61,339.00	\$393,847.00	\$500,000.00		\$0.00		\$0.00		\$8,678,733.00
13	Interest	\$61,875.00	\$10,881.00	\$13,159.00	\$4,081.00	\$3,401.00	\$0.00	\$0.00	\$0.00	\$0.00	\$97,421.00	\$190,818.00
14	<b>TOTAL</b>	\$10,961,154.00	\$857,093.00	\$873,685.00	\$397,928.00	\$528,092.00	\$0.00	\$0.00	\$0.00	\$0.00	\$3,558,621.00	\$17,176,573.00

Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2016-17  
Community Services and Supports (CSS) Summary

County: Tri-City

Date: 2/6/2017

**SECTION ONE**

	A	B	C Other Funds			D	E	F MHSA Funds								
	Total	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other Funding	Total MHSA CSS (Including MHSA Interest)	MHSA Interest	MHSA CSS 2016-17	MHSA CSS 2015-16	MHSA CSS 2014-15	MHSA CSS 2013-14	MHSA CSS 2012-13	MHSA CSS 2011-12	MHSA CSS 2010-11	MHSA CSS 2009-10	MHSA CSS 2008-09
1 CSS Annual Planning Costs	\$0.00					\$0.00										
2 CSS Evaluation Costs	\$0.00					\$0.00										
3 CSS Administration Costs	\$1,627,175.00					\$1,627,175.00		\$1,627,175.00								
4 CSS Funds Transferred to JPA	\$0.00					\$0.00										
5 CSS Expenditure Incurred by JPA	\$0.00					\$0.00										
6 CSS Funds Transferred to CalHFA	\$0.00					\$0.00										
7 CSS Funds Transferred to WET	\$450,000.00					\$450,000.00		\$450,000.00								
8 CSS Funds Transferred to CFTN	\$500,000.00					\$500,000.00		\$500,000.00								
9 CSS Funds Transferred to PR	\$0.00					\$0.00										
10 CSS Program Expenditures	\$6,658,753.00	\$1,598,068.00	\$0.00	\$420,263.00	\$0.00	\$4,640,422.00	\$50,480.00	\$0.00	\$297,509.00	\$4,292,433.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
11 Total CSS Expenditures (Excluding Funds Transferred to JPA)	\$9,235,928.00	\$1,598,068.00	\$0.00	\$420,263.00	\$0.00	\$7,217,597.00	\$50,480.00	\$950,000.00	\$1,924,684.00	\$4,292,433.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12 Total MHSA CSS Available for Expenditures						\$18,178,751.00	\$112,355.00	\$7,827,335.00	\$5,946,628.00	\$4,292,433.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

**SECTION TWO**

	A	B
1 Total MHSA FSP Program Expenditure	\$2,349,765.00	(A)
2 Total MHSA CSS Expenditures (Excluding Funds Transferred)	\$6,267,597.00	(B)
3 FSP Percentage of Total CSS Expenditure	37.49%	(A) ÷ (B)

**SECTION THREE**

#	County Code	Program Name	Prior Program Name	Service Category	Total CSS Program Expenditures	C Other Funds				F MHSA Funds												
						Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other Funding	Total MHSA CSS (Including MHSA Interest)	MHSA Interest	MHSA CSS 2016-17	MHSA CSS 2015-16	MHSA CSS 2014-15	MHSA CSS 2013-14	MHSA CSS 2012-13	MHSA CSS 2011-12	MHSA CSS 2010-11	MHSA CSS 2009-10	MHSA CSS 2008-09		
1	66	FSP Child		FSP	\$1,226,038.00	\$298,650.00		\$258,767.00		\$668,621.00	\$50,480.00		\$297,509.00	\$320,632.00								
2	66	FSP TAY		FSP	\$777,149.00	\$382,897.00		\$161,496.00		\$232,756.00				\$232,756.00								
3	66	FSP Adult		FSP	\$1,891,082.00	\$847,514.00				\$1,043,568.00				\$1,043,568.00								
4	66	FSP Older Adult		FSP	\$437,926.00	\$33,106.00				\$404,820.00				\$404,820.00								
5	66	Navigators		Non-FSP	\$416,490.00					\$416,490.00				\$416,490.00								
6	66	Wellness Center		Non-FSP	\$1,177,722.00					\$1,177,722.00				\$1,177,722.00								
7	66	Supplemental Crisis Support Svcs		Non-FSP	\$549,847.00					\$549,847.00				\$549,847.00								
8	66	Field Capable Services		Non-FSP	\$61,875.00	\$35,901.00				\$25,974.00				\$25,974.00								
9	66	CSS Housing		Non-FSP	\$120,624.00					\$120,624.00				\$120,624.00								
10					\$0.00					\$0.00												
11					\$0.00					\$0.00												
12					\$0.00					\$0.00												
13					\$0.00					\$0.00												
14					\$0.00					\$0.00												
15					\$0.00					\$0.00												
16					\$0.00					\$0.00												
17					\$0.00					\$0.00												
18					\$0.00					\$0.00												
19					\$0.00					\$0.00												
20					\$0.00					\$0.00												
21					\$0.00					\$0.00												
22					\$0.00					\$0.00												
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30					\$0.00					\$0.00												
31					\$0.00					\$0.00												
32					\$0.00					\$0.00												
33					\$0.00					\$0.00												
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36					\$0.00					\$0.00												
37					\$0.00					\$0.00												
38					\$0.00					\$0.00												
39					\$0.00					\$0.00												
40					\$0.00					\$0.00												
41					\$0.00					\$0.00												
42					\$0.00					\$0.00												
43					\$0.00					\$0.00												
44					\$0.00					\$0.00												
45					\$0.00					\$0.00												







Annual Mental Health Services Act Revenue and Expenditure Report for  
 Fiscal Year 2016-17  
 Innovation (INN) Summary

13									\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
14									\$0.00					\$0.00										
14									\$0.00					\$0.00										
14									\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
15									\$0.00					\$0.00										
15									\$0.00					\$0.00										
15									\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2016-17  
Workforce Education and Training (WET) Summary

County: Tri-City

Date: 2/6/2017

**SECTION ONE**

	A	B	C Other Fund			D	E	F	G	H	I	J	K	L M N O P Q R				
	Total	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other Funding	Total MHSA WET (Including Interest)	MHSA Interest	MHSA WET 2016-17	MHSA WET 2015-16	MHSA WET 2014-15	MHSA WET 2013-14	MHSA WET 2012-13	MHSA WET 2011-12	MHSA WET 2010-11	MHSA WET 2009-10	MHSA WET 2008-09	MHSA WET 2007-08	MHSA WET 2006-07
1 WET Annual Planning Costs	\$0.00					\$0.00												
2 WET Evaluation Costs	\$0.00					\$0.00												
3 WET Administration Costs	\$39,699.00					\$39,699.00	\$12,207.00	\$27,492.00										
4 WET Funds Transferred to JPA	\$0.00					\$0.00												
5 WET Expenditure Incurred by JPA	\$0.00					\$0.00												
6 WET Program Expenditures	\$232,380.00	\$0.00	\$0.00	\$0.00	\$0.00	\$232,380.00	\$0.00	\$28,661.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$203,719.00	\$0.00
7 Total WET Expenditures (Excluding Transfers to JPA)	\$272,079.00	\$0.00	\$0.00	\$0.00	\$0.00	\$272,079.00	\$12,207.00	\$56,153.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$203,719.00	\$0.00
8 Total MHSA WET Available for Expenditures						\$220,007.00	\$16,288.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$203,719.00	\$0.00

**SECTION TWO**

#	A		B		C Wet Component		D	E	F Other Funds			G	H	I	J	K	L	M	N	O	P M H S A Funds Q R S T U V				
	County	Program Name	Prior Program Name	Funding Category	Total WET Program Expenditures	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other Funding	Total MHSA WET (Including Interest)	MHSA Interest	MHSA WET 2016-17	MHSA WET 2015-16	MHSA WET 2014-15	MHSA WET 2013-14	MHSA WET 2012-13	MHSA WET 2011-12	MHSA WET 2010-11	MHSA WET 2009-10	MHSA WET 2008-09	MHSA WET 2007-08	MHSA WET 2006-07			
1	66			Workforce Staffing	\$12,013.00					\$12,013.00		\$12,013.00													
2	66			Training/Technical Assistance	\$208,355.00					\$208,355.00			\$4,636.00												
3	66			MH Career Pathways	\$12,012.00					\$12,012.00		\$12,012.00											\$203,719.00		
4				Residency/Internship	\$0.00					\$0.00															
5				Financial Incentive	\$0.00					\$0.00															

Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2016-17  
Capital Facility Technological Needs (CFTN) Summary

County:  Date:

**SECTION ONE**

	A	B	C			D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
	Total	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other Funding	Total MHSA CFTN	MHSA Interest	MHSA CFTN 2016-17	MHSA CFTN 2015-16	MHSA CFTN 2014-15	MHSA CFTN 2013-14	MHSA CFTN 2012-13	MHSA CFTN 2011-12	MHSA CFTN 2010-11	MHSA CFTN 2009-10	MHSA CFTN 2008-09	MHSA CFTN 2007-08	MHSA CFTN 2006-07		
1 CF Annual Planning Costs	\$0.00					\$0.00														
2 TN Annual Planning Costs	\$0.00					\$0.00														
3 CF Evaluation Costs	\$0.00					\$0.00														
4 TN Evaluation Costs	\$0.00					\$0.00														
5 CF Administration	\$0.00					\$0.00														
6 TN Administration	\$0.00					\$0.00														
7 CFTN Program Expenditure	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
8 Total CFTN Expenditures	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
9 Total MHSA CFTN Available for Expenditures						\$28,092.00	\$3,401.00	\$0.00	\$24,691.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

**SECTION TWO**

#	CFTN Component					Other Fund				MHSA Fund												
	County	Project Name	Prior Project Name	Project Type	Total Project Expenditures	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other Funding	Total MHSA CFTN	MHSA Interest	MHSA CFTN 2016-17	MHSA CFTN 2015-16	MHSA CFTN 2014-15	MHSA CFTN 2013-14	MHSA CFTN 2012-13	MHSA CFTN 2011-12	MHSA CFTN 2010-11	MHSA CFTN 2009-10	MHSA CFTN 2008-09	MHSA CFTN 2007-08	MHSA CFTN 2006-07
1					\$0.00					\$0.00												
2					\$0.00					\$0.00												
3					\$0.00					\$0.00												
4					\$0.00					\$0.00												
5					\$0.00					\$0.00												
6					\$0.00					\$0.00												
7					\$0.00					\$0.00												
8					\$0.00					\$0.00												
9					\$0.00					\$0.00												
10					\$0.00					\$0.00												
11					\$0.00					\$0.00												
12					\$0.00					\$0.00												
13					\$0.00					\$0.00												
14					\$0.00					\$0.00												
15					\$0.00					\$0.00												
16					\$0.00					\$0.00												
17					\$0.00					\$0.00												
18					\$0.00					\$0.00												
19					\$0.00					\$0.00												
20					\$0.00					\$0.00												

Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2016-17  
TTACB, WET RP, HP Summary

County: Tri-City

Date: 2/6/2017

**SECTION ONE**

A	B TTACB, WET RP, PE SW, HP Component			C	D	E F Other Funds			G	H	I	J	K	L	M	N MHA Funds				O	P	Q	R	S
#	County Code	Funding Type	Expenditures	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other Funding	Total MHA TTACB, WET RP, HP	MHA Interest	TTACB, WET RP, HP 2016-17	TTACB, WET RP, HP 2015-16	TTACB, WET RP, HP 2014-15	TTACB, WET RP, HP 2013-14	TTACB, WET RP, HP 2012-13	TTACB, WET RP, HP 2011-12	TTACB, WET RP, HP 2010-11	TTACB, WET RP, HP 2009-10	TTACB, WET RP, HP 2008-09	TTACB, WET RP, HP 2007-08					
1		Training, Technical Assistance & Capacity Building (TTACB)	\$0.00					\$0.00																
2		WET Regional Partnerships (WET RP)	\$0.00					\$0.00																
3		MHA Housing Program (Unencumbered Funds)	\$0.00					\$0.00																

Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2016-17  
TTACB, WET RP, HP Summary

T
TTACB, WET RP, HP 2006-07

Annual Mental Health Services Act Revenue and Expenditure Report for  
 Fiscal Year 2016-17  
 Adjustments Worksheet (MHSA)

**County:** Tri-City

**Date:** 2/6/2017

**SECTION ONE**

#	A County	B Component	C Adjustment to FY	D Amount	E Reason
1	66	INN	FY 2008-09	\$275,747.00	Incorrectly input amount under wrong fiscal years in
2	66	INN	FY 2009-10	\$289,930.00	FY 15-16 RER.
3	66	INN	FY 2013-14	-\$45,481.00	See attachment for adjustments which net to zero.
4	66	INN	FY 2014-15	-\$315,652.00	
5	66	INN	FY 2015-16	-\$204,544.00	
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Annual Mental Health Services Act Revenue and Expenditure Report for  
 Fiscal Year 2016-17  
 Adjustments Worksheet (MHSA)

29					
30					

**SECTION TWO**

#	A County	B Adjustment to	C Component	D Amount	E Reason
1		Interest			
2		Interest			
3		Interest			
4		Interest			
5		Interest			
6		Interest			
7		Interest			
8		Interest			
9		Interest			
10		Interest			
11		Interest			
12		Interest			
13		Interest			
14		Interest			
15		Interest			
16		Interest			
17		Interest			
18		Interest			
19		Interest			
20		Interest			
21		Interest			
22		Interest			
23		Interest			
24		Interest			
25		Interest			
26		Interest			
27		Interest			
28		Interest			

Annual Mental Health Services Act Revenue and Expenditure Report for  
 Fiscal Year 2016-17  
 Adjustments Worksheet (MHSA)

29		Interest			
30		Interest			

**SECTION THREE**

	A	B	C	D
#	County	Adjustment to	Amount	Reason
1		Prudent Reserve		
2		Prudent Reserve		
3		Prudent Reserve		

Annual Mental Health Services Act Revenue and Expenditure Report for  
 Fiscal Year 2016-17  
 FFP Revenue Adjustment

County: Tri-City

Date: 2/6/2017

**SECTION ONE**

#	A County	B Fiscal Year	C Cost Report Stage	D Component	E Beginning Balance	F Adjustment Amount	G Ending Balance
1		FY 2006-07		CSS			\$0.00
2		FY 2007-08		CSS			\$0.00
3		FY 2008-09		CSS			\$0.00
4		FY 2009-10		CSS			\$0.00
5		FY 2010-11		CSS			\$0.00
6		FY 2011-12		CSS			\$0.00
7		FY 2012-13		CSS			\$0.00
8		FY 2013-14		CSS			\$0.00
9		FY 2014-15		CSS			\$0.00
10		FY 2015-16		CSS			\$0.00
11		FY 2006-07		PEI			\$0.00
12		FY 2007-08		PEI			\$0.00
13		FY 2008-09		PEI			\$0.00
14		FY 2009-10		PEI			\$0.00
15		FY 2010-11		PEI			\$0.00
16		FY 2011-12		PEI			\$0.00
17		FY 2012-13		PEI			\$0.00
18		FY 2013-14		PEI			\$0.00
19		FY 2014-15		PEI			\$0.00
20		FY 2015-16		PEI			\$0.00
21		FY 2006-07		INN			\$0.00
22		FY 2007-08		INN			\$0.00
23		FY 2008-09		INN			\$0.00
24		FY 2009-10		INN			\$0.00
25		FY 2010-11		INN			\$0.00
26		FY 2011-12		INN			\$0.00
27		FY 2012-13		INN			\$0.00
28		FY 2013-14		INN			\$0.00
29		FY 2014-15		INN			\$0.00
30		FY 2015-16		INN			\$0.00
31		FY 2006-07		WET			\$0.00
32		FY 2007-08		WET			\$0.00
33		FY 2008-09		WET			\$0.00
34		FY 2009-10		WET			\$0.00
35		FY 2010-11		WET			\$0.00
36		FY 2011-12		WET			\$0.00
37		FY 2012-13		WET			\$0.00
38		FY 2013-14		WET			\$0.00

Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2016-17  
FFP Revenue Adjustment

39		FY 2014-15		WET			\$0.00
40		FY 2015-16		WET			\$0.00