



**MENTAL HEALTH SERVICES ACT (MHSA)  
ANNUAL REVENUE AND EXPENDITURE REPORT  
FISCAL YEAR 2018-19**

Thank you for your interest in the Mental Health Services Act (MHSA) Revenue and Expenditure Report. This report is being posted both to inform stakeholders of how MHSA funds are allocated and spent and in compliance with laws that require the information to be made available to the public. The purpose of the MHSA Annual Revenue and Expenditure Report (ARER) is to identify how MHSA funds that Tri-City Mental Health Authority received were spent, show the amount of additional funds that were able to be used for mental health services through the leveraging or matching of MHSA funds, identify MHSA funds that were not used, report any interest earned on MHSA funds, and to determine if any MHSA funds might need to be reverted (returned) to the State.

This ARER should be considered a preliminary report, as it cannot be considered final until Tri-City's Medi-Cal cost report is finalized. As such, the ARER may be updated in the future.

Should you have any questions or concerns related to this report, please feel free to contact the Finance Department at (909) 623-6131 or email at [MHSAfinance@tricitymhs.org](mailto:MHSAfinance@tricitymhs.org)

Thank you.

Tri-City Mental Health Authority

**Administrative Office** / 1717 North Indian Hill Boulevard, Suite B, Claremont, CA 91711-2788 / 909.623.6131 *p* / 909. 623.4073 *f*

**Main Clinical Office** / 2008 North Garey Avenue, Pomona, CA 91767-2722 / 909.623.6131 *p* / 909.865-9281 *f*

**Clinical Office / Children** / 1900 Royalty Drive, Pomona, CA 91767 / 909.784.3200 *p* / 909.865.0730 *f*

**Wellness Center** / 1403 North Garey Avenue, Pomona, CA 91767 / 909.242.7600 *p* / 909.242.7691 *f*

**MHSA Office** / 2001 North Garey Avenue, Pomona, CA 91767 / 909.325.4600 *p* / 909.326.4690 *f*

[www.tricitymhs.org](http://www.tricitymhs.org)

*Founded by Pomona, Claremont and La Verne in 1960*

**ANNUAL MHSA REVENUE AND EXPENDITURE REPORT and  
ADJUSTMENT WORKSHEET COUNTY CERTIFICATION**

County/City: Tri-City Mental Health Authority

**Local Mental Health Director**

Name: Antonette (Toni) Navarro

Telephone: 909-623-6131

Email: tnavarro@tricitymhs.org

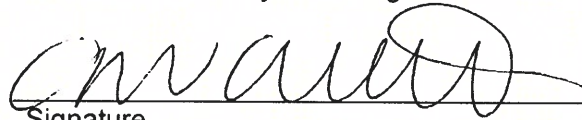
**Document for Certification:**

**Annual Revenue and Expenditure Report FY: 2018-19**

I hereby certify<sup>1</sup> under penalty of perjury under the laws of the State of California that the attached Annual MHSA Revenue and Expenditure Report or Adjustments to Revenue or Expenditure Summary Worksheet is complete and accurate to the best of my knowledge.

Antonette (Toni) Navarro

Local Mental Health Director (PRINT)



Signature

1/14/2020  
Date

*Revised*

<sup>1</sup> Welfare and Institutions Code section 5899(a)

DHCS 1822 A (02/19)  
**Annual Mental Health Services Act (MHSA) Revenue and Expenditure Report**  
**Fiscal Year: 2018-19**  
**Information Worksheet**

1	Date:	12/30/2019
2	ARER Fiscal Year (20YY-YY):	2018-19
3	County:	Tri-City
4	County Code:	66
5	Address:	1717 N. Indian Hill Blvd., Suite B
6	City:	Claremont
7	Zip:	91711
8	County Population: Over 200,000? (Yes or No)	Yes
9	Name of Preparer:	Diana Acosta
10	Title of Preparer:	Chief Financial Officer
11	Preparer Contact Email:	dacosta@tricitymhs.org
12	Preparer Contact Telephone:	909-451-6434

DHCS 1822 B (02/19)  
Annual Mental Health Services Act (MHSA) Revenue and Expenditure Report  
Fiscal Year: 2018-19  
Component Summary Worksheet

County: Tri-City

Date: 12/30/2019

		A	B	C	D	E	F
<b>SECTION 1: Interest</b>		<b>CSS</b>	<b>PEI</b>	<b>INN</b>	<b>WET</b>	<b>CFTN</b>	<b>TOTAL</b>
1	Component Interest Earned	\$410,686.00	\$22,517.00	\$28,680.00	\$8,311.00	\$12,767.00	\$482,961.00
2	Joint Powers Authority Interest Earned						\$0.00

		A	B	C
<b>SECTION 2: Prudent Reserve</b>		<b>CSS</b>	<b>PEI</b>	<b>TOTAL</b>
3	Local Prudent Reserve Beginning Balance			\$3,558,621.00
4	Transfer from Local Prudent Reserve			\$0.00
5	CSS Funds Transferred to Local Prudent Reserve	\$0.00		\$0.00
6	Local Prudent Reserve Adjustments			\$0.00
7	Local Prudent Reserve Ending Balance			\$3,558,621.00

		A	B	C	D	E	F
<b>SECTION 3: CSS Transfers to PEI, WET, CFTN, or Prudent Reserve</b>		<b>CSS</b>	<b>PEI</b>	<b>WET</b>	<b>CFTN</b>	<b>PR</b>	<b>TOTAL</b>
8	Transfers	-\$1,700,000.00	\$0.00	\$1,000,000.00	\$700,000.00	\$0.00	\$0.00

		A	B	C	D	E	F
<b>SECTION 4: Program Expenditures and Sources of Funding</b>		<b>CSS</b>	<b>PEI</b>	<b>INN</b>	<b>WET</b>	<b>CFTN</b>	<b>TOTAL</b>
9	MHSA Funds	\$5,380,773.00	\$1,806,686.00	\$131,206.00	\$325,485.00	\$0.00	\$7,644,150.00
10	Medi-Cal FFP	\$4,435,659.00	\$0.00	\$8,405.00	\$0.00	\$0.00	\$4,444,064.00
11	1991 Realignment	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12	Behavioral Health Subaccount	\$1,160,954.00	\$0.00	\$0.00	\$0.00	\$0.00	\$1,160,954.00
13	Other	\$127,329.00	\$0.00	\$0.00	\$0.00	\$0.00	\$127,329.00
14	<b>TOTAL</b>	<b>\$11,104,715.00</b>	<b>\$1,806,686.00</b>	<b>\$139,611.00</b>	<b>\$325,485.00</b>	<b>\$0.00</b>	<b>\$13,376,497.00</b>

		A
<b>SECTION 5: Miscellaneous MHSA Costs and Expenditures</b>		<b>TOTAL</b>
15	Total Annual Planning Costs	\$213,323.00
16	Total Evaluation Costs	\$4,332.00
17	Total Administration	\$2,250,465.00
18	Total WET RP	
19	Total PEI SW	\$0.00
20	Total MHSA HP	
21	Total Mental Health Services For Veterans	\$102,718.00

DHCS 1822 C (02/19)  
**Annual Mental Health Services Act (MHSA) Revenue and Expenditure Report**  
**Fiscal Year: 2018-19**  
**Community Services and Supports (CSS) Summary Worksheet**

County:

Date:

**SECTION ONE**

	A	B	C	D	E	F	
	Total MHSA Funds (Including Interest)	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other	Grand Total	
1	CSS Annual Planning Costs	\$213,323.00				\$213,323.00	
2	CSS Evaluation Costs					\$0.00	
3	CSS Administration Costs	\$1,675,027.00	\$727,268.00			\$2,402,295.00	
4	CSS Funds Transferred to JPA					\$0.00	
5	CSS Expenditures Incurred by JPA					\$0.00	
6	CSS Funds Transferred to CalHFA					\$0.00	
7	CSS Funds Transferred to PEI					\$0.00	
8	CSS Funds Transferred to WET	\$1,000,000.00				\$1,000,000.00	
9	CSS Funds Transferred to CFTN	\$700,000.00				\$700,000.00	
10	CSS Funds Transferred to PR					\$0.00	
11	CSS Program Expenditures	\$3,492,423.00	\$3,708,391.00	\$0.00	\$1,160,954.00	\$127,329.00	\$8,489,097.00
12	Total CSS Expenditures (Excluding Funds Transferred to JPA)	\$7,080,773.00	\$4,435,659.00	\$0.00	\$1,160,954.00	\$127,329.00	\$12,804,715.00
13	Total CSS Expenditures (Excluding Funds Transferred to JPA, PEI, WET, CFTN and PR)	\$5,380,773.00	\$4,435,659.00	\$0.00	\$1,160,954.00	\$127,329.00	\$11,104,715.00

**SECTION TWO**

#	A	B	C	D	E	F	G	H	I	J
#	County Code	Program Name	Prior Program Name	Program Type	Total MHSA Funds (Including Interest)	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other	Grand Total
14	66	FSP Child		FSP	\$0.00	\$547,232.00		\$696,923.00	\$127.00	\$1,244,282.00
15	66	FSP TAY		FSP	\$0.00	\$908,357.00		\$444,104.00	\$266.00	\$1,352,727.00
16	66	FSP Adult		FSP	\$924,432.00	\$1,908,560.00		\$16,732.00	\$2,244.00	\$2,851,968.00
17	66	FSP Older Adult		FSP	\$86,990.00	\$266,832.00		\$2,939.00	\$135.00	\$356,896.00
18	66	Community Navigators		Non-FSP	\$421,514.00					\$421,514.00
19	66	Wellness Center		Non-FSP	\$1,094,176.00				\$732.00	\$1,094,908.00
20	66	Supplemental Crisis Services		Non-FSP	\$639,363.00					\$639,363.00
21	66	Field Capable Clinical Services for Older Adults		Non-FSP	\$25,960.00	\$77,410.00		\$256.00	\$912.00	\$104,538.00
22	66	Permanent Supportive Housing		Non-FSP	\$299,988.00				\$122,913.00	\$422,901.00
23										\$0.00
24										\$0.00
25										\$0.00
26										\$0.00
27										\$0.00
28										\$0.00
29										\$0.00
30										\$0.00
31										\$0.00
32										\$0.00
33										\$0.00
34										\$0.00
35										\$0.00
36										\$0.00
37										\$0.00
38										\$0.00
39										\$0.00
40										\$0.00
41										\$0.00
42										\$0.00
43										\$0.00
44										\$0.00
45										\$0.00
46										\$0.00
47										\$0.00
48										\$0.00
49										\$0.00
50										\$0.00
51										\$0.00
52										\$0.00
53										\$0.00

DHCS 1822 D (02/19)  
**Annual Mental Health Services Act (MHSA) Revenue and Expenditure Report**  
Fiscal Year: 2018-19  
**Prevention and Early Intervention (PEI) Summary Worksheet**

County:  Date:

**SECTION ONE**

	A	B	C	D	E	F
	Total MHSA Funds (Including Interest)	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other	Grand Total
1	PEI Annual Planning Costs					\$0.00
2	PEI Evaluation Costs					\$0.00
3	PEI Administration Costs	\$508,085.00				\$508,085.00
4	PEI Funds Expended by CalMHSA for PEI Statewide					\$0.00
5	PEI Funds Transferred to JPA					\$0.00
6	PEI Expenditures Incurred by JPA					\$0.00
7	PEI Program Expenditures	\$1,298,601.00	\$0.00	\$0.00	\$0.00	\$1,298,601.00
8	<b>Total PEI Expenditures (Excluding Transfers and PEI Statewide)</b>	<b>\$1,806,686.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$1,806,686.00</b>

**SECTION TWO**

	A	B
	Percent Expended for Clients Age 25 and Under, All PEI	Percent Expended for Clients Age 25 and Under, JPA
9	MHSA PEI Fund Expenditures in Program to Clients Age 25 and Under (calculated from weighted program values) divided by Total MHSA PEI Expenditures	43.50%

**SECTION THREE**

#	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
	County Code	Program Name	Prior Program Name	Combined/Standalone Program	Program Type	Program Activity Name (in Combined Program)	Subtotal Percentage for Combined Program	Percent of PEI Expended on Clients Age 25 & Under (Standalone and Program Activities in Combined Program)	Percent of PEI Expended on Clients Age 25 & Under (Combined Summary and Standalone)	Total MHSA Funds (Including Interest)	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other	Grand Total
10	66	Family Wellbeing		Combined	Combined Summary				100.0%	\$63,678.00					\$63,678.00
11	66	Family Wellbeing		Combined	Prevention	Wellness Groups	50%	100%							\$0.00
12	66	Family Wellbeing		Combined	Early Intervention	Support Groups	50%	100%							\$0.00
13															\$0.00
14	66	Transitioned Age Youth Wellbeing (Peer Mentor)		Combined	Combined Summary				100.0%	\$114,609.00					\$114,609.00
15	66	Transitioned Age Youth Wellbeing (Peer Mentor)		Combined	Prevention	Wellness Groups	50%	100%							\$0.00
16	66	Transitioned Age Youth Wellbeing (Peer Mentor)		Combined	Early Intervention	Peer Mentor Program	50%	100%							\$0.00
17															\$0.00
18	66	Older Adult Wellbeing (Peer Mentor)		Combined	Combined Summary				0.0%	\$113,461.00					\$113,461.00
19	66	Older Adult Wellbeing (Peer Mentor)		Combined	Prevention	Peer Mentor Program	25%	0%							\$0.00
20	66	Older Adult Wellbeing (Peer Mentor)		Combined	Early Intervention	Peer Mentor Program	25%	0%							\$0.00
21	66	Older Adult Wellbeing (Peer Mentor)		Combined	Outreach		25%	0%							\$0.00
22	66	Older Adult Wellbeing (Peer Mentor)		Combined	Access and Linkage		25%	0%							\$0.00
23															\$0.00
24	66	Housing Stability Program		Combined	Combined Summary				35.0%	\$138,395.00					\$138,395.00
25	66	Housing Stability Program		Combined	Prevention	Tenant & Landlord Su	50%	35%							\$0.00
26	66	Housing Stability Program		Combined	Access and Linkage	Housing Navigators	50%	35%							\$0.00
27															\$0.00
28	66	NAMI Community Capacity Building Program (Ending the Silence)		Combined	Combined Summary				100.0%	\$23,760.00					\$23,760.00
29	66	NAMI Community Capacity Building Program (Ending the Silence)		Combined	Outreach		50%	100%							\$0.00
30	66	NAMI Community Capacity Building Program (Ending the Silence)		Combined	Access and Linkage		50%	100%							\$0.00
31															\$0.00
32	66	Community Capacity Building (Community Wellbeing, Stigma Reduction and Suicide Prevention, and Community Mental Health Trainors)		Combined	Combined Summary				75.4%	\$419,421.00					\$419,421.00
33	66	Community Capacity Building (Community Wellbeing, Stigma Reduction and Suicide Prevention, and Community Mental Health Trainors)		Combined	Prevention	Community Grants	18%	100%							\$0.00



DHCS 1822 E (02/19)  
**Annual Mental Health Services Act (MHSA) Revenue and Expenditure Report**  
Fiscal Year: 2018-19  
Innovation (INN) Summary Worksheet

County: Tri-City Date: 12/30/2019

**SECTION ONE**

	A	B	C	D	E	F
	Total MHSA Fund (Including Interest)	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other	Grand Total
1	INN Annual Planning Costs					\$0.00
2	INN Indirect Administration					\$0.00
3	INN Funds Transferred to JPA					\$0.00
4	INN Expenditures Incurred by JPA	\$116,344.00				\$116,344.00
5	INN Project Administration	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
6	INN Project Evaluation	\$4,332.00	\$8,405.00	\$0.00	\$0.00	\$12,737.00
7	INN Project Direct	\$10,530.00	\$0.00	\$0.00	\$0.00	\$10,530.00
8	INN Project Subtotal	\$14,862.00	\$8,405.00	\$0.00	\$0.00	\$23,267.00
9	Total Innovation Expenditures (Excluding Transfers to JPA)	\$131,206.00	\$8,405.00	\$0.00	\$0.00	\$139,611.00

**SECTION TWO**

#	A	B	C	D	E	F	G	H	I	J	K	L	M
	County Code	Project Name	Prior Project Name	Project MHSOAC Approval Date	Project Start Date	MHSOAC-Authorized MHSOAC INN Project Budget	Amended MHSOAC-Authorized MHSOAC INN Project Budget	Project Expenditure Type	Total MHSA Funds (Including Interest)	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other
10	A	66 Cognitive Remediation Therapy		8/1/2014	6/1/2016	\$803,105.00		Project Administration					
10	B	66 Cognitive Remediation Therapy		8/1/2014	6/1/2016	\$803,105.00		Project Evaluation	\$4,332.00	\$8,405.00			
10	C	66 Cognitive Remediation Therapy		8/1/2014	6/1/2016	\$803,105.00		Project Direct					
10	D	66 Cognitive Remediation Therapy		8/1/2014	6/1/2016	\$803,105.00		Project Subtotal	\$4,332.00	\$8,405.00	\$0.00	\$0.00	\$0.00
11	A	66 Help @ Hand	Increasing Access to Mental Health Services and Supports Utilizing a Suite of Technology-Based Mental Health Solutions (Tech Suite)	9/27/2018	1/1/2019	\$1,674,700.00		Project Administration					
11	B	66 Help @ Hand	Increasing Access	9/27/2018	1/1/2019	\$1,674,700.00		Project Evaluation					
11	C	66 Help @ Hand	Increasing Access	9/27/2018	1/1/2019	\$1,674,700.00		Project Direct	\$10,530.00				
11	D	66 Help @ Hand	Increasing Access	9/27/2018	1/1/2019	\$1,674,700.00		Project Subtotal	\$10,530.00	\$0.00	\$0.00	\$0.00	\$0.00
12	A								\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12	B												
12	C												
12	D								\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
13	A												
13	B												
13	C												
13	D								\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
14	A												
14	B												
14	C												
14	D								\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
15	A												
15	B												
15	C												
15	D								\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
16	A												
16	B												
16	C												
16	D								\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
17	A												
17	B												
17	C												
17	D								\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
18	A												
18	B												
18	C												
18	D								\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
19	A												
19	B												
19	C												
19	D								\$0.00	\$0.00	\$0.00	\$0.00	\$0.00



DHCS 1822 F (02/19)  
**Annual Mental Health Services Act (MHSA) Revenue and Expenditure Report**  
**Fiscal Year: 2018-19**  
**Workforce Education and Training (WET) Summary Worksheet**

County:

Date:

**SECTION ONE**

	A	B	C	D	E	F
	Total MHSA Funds (Including Interest)	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other	Grand Total
1	WET Annual Planning Costs					\$0.00
2	WET Evaluation Costs					\$0.00
3	WET Administration Costs	\$67,353.00				\$67,353.00
4	WET Funds Transferred to JPA					\$0.00
5	WET Expenditures Incurred by JPA					\$0.00
6	WET Program Expenditures	\$258,132.00	\$0.00	\$0.00	\$0.00	\$258,132.00
7	<b>Total WET Expenditures (Excluding Transfers to JPA)</b>	<b>\$325,485.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$325,485.00</b>

**SECTION TWO**

#	A	B	C	D	E	F	G	H
#	County Code	Funding Category	Total MHSA Funds (Including Interest)	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other	Grand Total
8	66	Workforce Staffing	\$23,588.00					\$23,588.00
9	66	Training/Technical Assistance	\$210,955.00					\$210,955.00
10	66	Mental Health Career Pathways	\$23,589.00					\$23,589.00
11		Residency/Internship						\$0.00
12		Financial Incentive						\$0.00

DHCS 1822 G (02/19)  
Annual Mental Health Services Act (MHSA) Revenue and Expenditure Report  
Fiscal Year: 2018-19  
Capital Facility Technological Needs (CFTN) Summary Worksheet

County:

Date:

**SECTION ONE**

	A	B	C	D	E	F
	Total MHSA Funds (Including Interest)	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other	Grand Total
1	CFTN Annual Planning Costs					\$0.00
2	CFTN Evaluation Costs					\$0.00
3	CFTN Administration Costs					\$0.00
4	CFTN Funds Transferred to JPA					\$0.00
5	CFTN Expenditures Incurred by JPA					\$0.00
6	CFTN Project Expenditures	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
7	Total CFTN Expenditures (Excluding Transfers to JPA)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

**SECTION TWO**

#	A	B	C	D	E	F	G	H	I	J
#	County Code	Project Name	Prior Project Name	Project Type	Total MHSA Funds (Including Interest)	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other	Grand Total
8										\$0.00
9										\$0.00
10										\$0.00
11										\$0.00
12										\$0.00
13										\$0.00
14										\$0.00
15										\$0.00
16										\$0.00
17										\$0.00
18										\$0.00
19										\$0.00
20										\$0.00
21										\$0.00
22										\$0.00
23										\$0.00
24										\$0.00
25										\$0.00
26										\$0.00
27										\$0.00